

# Non-existence of non-zero $\square'$ -harmonic forms on a complete foliated manifold

Fumihiko ENOMOTO

## Abstract

We study Bochner type theorem for the  $\square'$ -harmonic  $(1,0)$ -form on a complete foliated Riemannian manifold with a bundle-like metric with respect to the foliation. We have the non-existence of non-zero  $\square'$ -harmonic  $(1,0)$ -forms under the certain assumption of the curvature of the Levi-Civita connection.

## 1. Introduction.

Let  $M$  be a connected, compact and orientable foliated manifold with a bundle-like metric with respect to the foliation. In [4] O.K.I. Vaisman showed Bochner type theorem for the foliated harmonic  $(1,0)$ -forms:

“There are not non-zero foliated harmonic  $(1,0)$ -forms on  $M$  with positive definite Ricci curvature of the second connection.”

In [6] O.K. S. Yoroza showed Bochner type theorem for the foliated harmonic  $(1,0)$ -and  $(1, m)$ -forms under the certain assumption of the curvature of the Levi-Civita connection, where  $m$  is codimension of the foliation.

In this note, we shall prove, by H. Kitahara's method [2], Bochner type theorem for the  $\square'$ -harmonic  $(1,0)$ -forms under the certain assumption of the curvature of the Levi-Civita connection as  $M$  is a complete manifold.

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## 2. Foliated manifold

We shall be in  $C^\infty$ -category. Latin indices run from 1 to  $n$  and Greek ones from  $n+1$  to  $n+m$ , and the Einstein summation convention will be used.

Let  $M$  be an  $n+m$  dimensional, connected and orientable manifold with a foliation  $\mathcal{F}$  of codimension  $m$ .  $\mathcal{F}$  is given by an integrable subbundle  $E$  of the tangent bundle  $TM$  over  $M$ . Then we find about each point a coordinate neighbourhood  $U$  with coordinate  $(x^1, \dots, x^n, y^{n+1}, \dots, y^{n+m})$  such that

- (i)  $|x^i| \leq 1$  and  $|y^\alpha| \leq 1$ ,
- (ii) The integral manifolds of  $E$  are given locally by  $y^{n+1} = c^{n+1}, \dots, y^{n+m} = c^{n+m}$  for constants  $c^\alpha$  satisfying  $|c^\alpha| \leq 1$ .

Such a coordinate neighbourhood  $U$  will be called flat.

We may assume that there exist in a flat neighbourhood  $U$  differential forms  $\omega^i$  and vectors

$v_\alpha$  such that

- (i)  $\{\partial/\partial x^i\}$  forms the base for the space of cross-sections of  $E$  in  $U$  at each point,
- (ii)  $\{\omega^1, \dots, \omega^n, dy^{n+1}, \dots, dy^{n+m}\}$  and  $\{\partial/\partial x^1, \dots, \partial/\partial x^n, v_{n+1}, \dots, v_{n+m}\}$  are dual bases for the cotangent and tangent spaces at each point of  $U$  respectively,

where  $\omega^i = dx^i + A_\alpha^i dy^\alpha$  and  $v_\alpha = \partial/\partial y^\alpha - A_\alpha^i \partial/\partial x^i$  (cf. [3]).

Throughout this note, all local expression for differential forms and vectors will be taken with respect to those bases. Furthermore we shall assume that  $M$  has a complete Riemannian metric  $g$  which is bundle-like with respect to  $\mathcal{F}$ . The metric  $g$  has the local expression:

$$g|_U = g_{ij}(x, y) \omega^i \otimes \omega^j + g_{\alpha\beta}(y) dy^\alpha \otimes dy^\beta,$$

where  $g_{ij}(x, y) = g(\partial/\partial x^i, \partial/\partial x^j)$  and  $g_{\alpha\beta}(y) = g(v_\alpha, v_\beta)$  (cf. [3]).

### 3. $\square'$ -harmonic form

A form  $\phi$  on  $M$  is of type  $(p, q)$  (or a  $(p, q)$ -form) if it is expressed locally as

$$\phi|_U = \frac{1}{p!q!} \phi_{i_1 \dots i_p \alpha_1 \dots \alpha_q}(x, y) \omega^{i_1} \Lambda \dots \Lambda \omega^{i_p} \Lambda dy^{\alpha_1} \Lambda \dots \Lambda dy^{\alpha_q}.$$

Hereafter, we omit " $|_U$ ". Let  $\Lambda^{p,q}$  denote the space of all  $(p, q)$ -forms on  $M$  and  $\Lambda^r$  the space of all  $r$ -forms on  $M$ . Then we have the decomposition of  $\Lambda^r$ , that is,

$$\Lambda^r = \sum_{p+q=r} \Lambda^{p,q}.$$

This decomposition induces a decomposition of the exterior derivative  $d$ , that is,

$$d = d' + d'' + d'''.$$

We here notice that  $d' : \Lambda^{p,q} \rightarrow \Lambda^{p+1, q}$  and  $(d')^2 = 0$  (cf. [4], [5]).

Now we may give the local expression of  $d'\phi$  and  $*\phi$  for  $\phi \in \Lambda^{p,q}$ :

$$d'\phi = \frac{1}{(p+1)!q!} \delta_{k_1 \dots k_{p+1}}^{i_1 \dots i_p} \left( \frac{\partial}{\partial x^i} \phi_{i_1 \dots i_p \alpha_1 \dots \alpha_q} \right) \omega^{k_1} \Lambda \dots \Lambda \omega^{k_{p+1}} \Lambda dy^{\alpha_1} \Lambda \dots \Lambda dy^{\alpha_q},$$

$$*\phi = \frac{1}{(n-p)!(m-q)!p!q!} g^{i_1 j_1} \dots g^{i_p j_p} g^{\alpha_1 \beta_1} \dots g^{\alpha_q \beta_q} \eta_{j_1 \dots j_p k_1 \dots k_{n-p} \beta_1 \dots \beta_q \gamma_1 \dots \gamma_{m-q}} \phi_{i_1 \dots i_p \alpha_1 \dots \alpha_q}$$

$$\omega^{k_1} \Lambda \dots \Lambda \omega^{k_{n-p}} \Lambda dy^{\gamma_1} \Lambda \dots \Lambda dy^{\gamma_{m-q}},$$

where  $\delta_{k_1 \dots k_{p+1}}^{i_1 \dots i_p}$  denote the generalized Kronecker symbol, (cf. [1]),  $(g^{ij})$  and  $(g^{\alpha\beta})$  denote the inverse matrices of  $(g_{ij})$  and  $(g_{\alpha\beta})$  respectively and  $\eta_{i_1 \dots i_n \alpha_1 \dots \alpha_m}$  denote the components of the volume form  $((n, m)$ -form);

$$dM = \sqrt{\det \left( \begin{smallmatrix} g_{ij} \\ g_{\alpha\beta} \end{smallmatrix} \right)} \omega^1 \wedge \cdots \wedge \omega_n \wedge dy^1 \wedge \cdots \wedge dy^m.$$

We define  $\delta' : \Lambda^{p,q} \rightarrow \Lambda^{p-1,q}$  by

$$\delta' = (-1)^{(n+m)(p+q)+(n+m)+1} * \delta' *.$$

We denote by  $\langle, \rangle$  the local scalar product on  $\Lambda^{p,q}$ . The global scalar product  $\ll, \gg$  is defined by

$$\ll \phi, \psi \gg = \int_M \langle \phi, \psi \rangle * 1 = \int_M \frac{1}{i} \bar{\partial} \bar{\partial}^* \phi \wedge \bar{\partial} \bar{\partial}^* \psi \wedge \omega^1 \wedge \cdots \wedge \omega^q \wedge dy^1 \wedge \cdots \wedge dy^q * 1$$

for any  $\phi, \psi \in \Lambda^{p,q}$ , where  $\Lambda^{p,q}$  denotes the subspace of  $\Lambda^{p,q}$  composed of forms with compact supports. Then we have

$$\ll d' \phi, \psi \gg = \ll \phi, \delta' \psi \gg,$$

for any  $\phi \in \Lambda^{p,q}$  and any  $\psi \in \Lambda^{p+1,q}$ . Let  $L^{p,q}$  be the completion of  $\Lambda^{p,q}$  with respect to the scalar product  $\ll, \gg$ .

Similarly, by  $\langle, \rangle$  and  $\ll, \gg$ , we denote the local scalar product and the global scalar product for covariant tensor fields respectively. For example, if  $T = T_{ij} \omega^i \otimes \omega^j$  and  $S = S_{ij} \omega^i \otimes \omega^j$  are tensor fields with compact supports, we have

$$\ll T, S \gg = \int_M \langle T, S \rangle * 1 = \int_M \frac{1}{2!} T_{ij} S^{ij} * 1.$$

Let  $\nabla$  denote the Levi-Civita connection on  $M$ . We set

$$\nabla^{X_A} X_B = \Gamma_{AB}^C X_C,$$

where each  $X_A$  denotes  $\partial/\partial x^i$  or  $v_a$ . For example,

$$\nabla^{\partial/\partial x^i} \partial/\partial x^j = \Gamma_{ij}^k \partial/\partial x^k + \Gamma_{\alpha}^{ij} v_a,$$

$$\nabla^{\partial/\partial x^i} v_a^j = \Gamma_{ij}^k \partial/\partial x^k + \Gamma_{\alpha}^{ij} v_a^{\alpha},$$

and moreover, we set  $\Delta_i = \nabla^{\partial/\partial x^i}$ .

Lemma 3.1.  $\Gamma_{ij}^k = \Gamma_{ji}^k$ .

This lemma is proved by the direct calculation. Let  $R$  denote the curvature tensor of  $\nabla$ :

$$R(X, Y)Z = \nabla_X \nabla_Y Z - \nabla_Y \nabla_X Z - \nabla_{[X, Y]} Z.$$

For example,

$$R(a/ax^h, a/ax^i) a/ax^i = R_{kji}^h a/ax^h + R_{kij}^h v^j v^i,$$

$$R(a/ax^h, a/ax^j) v^a = R_{kja}^h a/ax^h + R_{kja}^h v^j v^i.$$

Definition 3.1. The operator  $\square'$  acting on  $\Lambda^{p,q}$  is defined by  $\square' = d' \delta' + \delta' d'$ . A  $(p, q)$ -form  $\phi$  on  $M$  is called a  $\square'$ -harmonic form if  $\square' \phi = 0$ .

By Lemma 3.1, we have

$$\text{Lemma 3.2. For } (1, 0)\text{-form } \phi = \frac{1}{1!0!} \phi_i \omega^i,$$

$$(i) \quad d' \phi = \frac{1}{2!1!0!} \{ \Delta^i \phi_j - \Delta_j \phi_i \} \omega^i \wedge \omega^j,$$

$$(ii) \quad \delta' \phi = -\Delta^i \phi_i,$$

$$(iii) \quad \square' \phi = \frac{1}{1!0!} \{ -\Delta^i \Delta_i \phi_k + R_{kji}^h \phi_h \} \omega^k,$$

where  $R_{kji}^h = g^{il} R_{kji}^h$ , which is a "partial" Ricci tensor.

Remark: If all leaves in  $M$  are totally geodesic, the Ricci tensor with respect to the induced connection on each leaf agrees with  $R_{kji}^h$ .

#### 4. Non-existence of $\square'$ -harmonic form.

We fix a point  $o$  in  $M$ , and for each point  $p$  in  $M$ , we denote by  $\rho(p)$  the geodesic distance from  $o$  to  $p$ . We set

$$B(R) = \{ p \in M; \rho(p) < R \},$$

for any  $R > 0$ .

We consider a function  $\mu(t)$  on  $\mathbf{R}$  satisfying

$$(i) \quad 0 \leq \mu(t) \leq 1 \text{ on } \mathbf{R},$$

$$(ii) \quad \mu(t) = 1 \text{ for } t \leq 1,$$

$$(iii) \quad \mu(t) = 0 \text{ for } t \geq 2,$$

and we define functions  $w_R$  on  $M$  by

$$(4.1) \quad w_R(p) = \mu\left(\frac{\rho(p)}{R}\right)$$

for any  $R > 0$ . Then we have the following properties:

$$(4.2) \quad 0 \leq w_R \leq 1 \text{ on } M,$$

$$(4.3) \quad \text{supp } w_R \subset \overline{B(2R)} \text{ (the closure of } B(2R)),$$

$$(4.4) \quad w_R(p)=1 \text{ for } p \in B(R),$$

$$(4.5) \quad w_R(p) \rightarrow 1 \text{ (} R \rightarrow \infty \text{) for each } p \in M,$$

$$(4.6) \quad w_R \text{ are locally Lipschitz functions and of class } C^\infty \text{ for almost all } p \in M,$$

$$(4.7) \quad (d'w_R)_p \leq \frac{C'}{R} \text{ for almost all } p \in M,$$

where  $C'$  is a number dependig only on  $\mu$  and the dimension  $(n+m)$  of  $M$ .

By (4.7), we have

Lemma 4.1. (cf. [2]). *There exists a number  $C$  depending only on  $\mu$  and the dimension  $(n+m)$  of  $M$ , such that*

$$(i) \quad \|d'w_R \otimes \phi\|_{B(2R)} \leq \frac{C}{R} \|\phi\|_{B(2R)},$$

$$(ii) \quad \|d'w_R \otimes * \phi\|_{B(2R)} \leq \frac{C}{R} \|\phi\|_{B(2R)},$$

for any  $\phi \in \Lambda^{1,0}$ , where  $\|\phi\|_{B(2R)}^2 = \langle\langle \phi, \phi \rangle\rangle_{B(2R)} = \int_{B(2R)} \langle \phi, \phi \rangle * 1$ .

By the direct calculation, we have

$$(4.8) \quad d'(w_R^2 \phi) = w_R^2 d' \phi + 2w_R d'w_R \wedge \phi,$$

$$(4.9) \quad \delta'(w_R^2 \phi) = w_R^2 \delta' \phi - * (2w_R d'w_R \wedge * \phi),$$

for any  $\phi \in \Lambda^{1,0}$ .

Definition 4.2. For any  $(1,0)$ -form  $\phi = \phi_i \omega^i$ , we define  $\nabla' \phi$  by

$$\nabla' \phi = \nabla_i \phi_j \omega^i \otimes \omega^j.$$

A  $(1,0)$ -form  $\phi$  is called  $\nabla'$ -parallel, if  $\nabla' \phi = 0$ .

Definition 4.3. A symmetric linear mapping  $\mathcal{R}' : \Lambda^{1,0} \rightarrow \Lambda^{1,0}$  is defined by

$$\mathcal{R}' \phi = \frac{1}{1!0!} R'_{\ k}{}^h \phi_h \omega^k,$$

for any  $\phi = \frac{1}{1!0!} \phi_i \omega^i$ , where  $R'_{\ k}{}^h = g^{ji} R_{\ kji}{}^h$ .  $\mathcal{R}'$  is called positive, if both  $\langle \mathcal{R}' \phi, \phi \rangle_p \geq 0$  and  $\langle \mathcal{R}' \phi, \phi \rangle_p = 0$  iff  $\phi_p = 0$  for each  $p \in M$ .  $\mathcal{R}'$  is called non-negative (resp. non-positive), if  $\langle \mathcal{R}' \phi, \phi \rangle_p \geq 0$  (resp.  $\leq 0$ ) for each  $p \in M$ .

Lemma 4.2. (cf. [2]). *For any  $\phi \in \Lambda^{1,0}$ ,*

$$0 = \langle\langle w_R \nabla'^2 \phi, w_R \phi \rangle\rangle_{B(2R)} + 2 \|w_R \nabla' \phi\|_{B(2R)}^2 + 4 \langle\langle d'w_R \otimes \phi, w_R \nabla' \phi \rangle\rangle_{B(2R)},$$

where  $(\nabla'^2 \phi) = \nabla^j \nabla_j \phi_i \omega^i$ .

*Proof.* For given  $\phi$ , we consider a  $(1,0)$ -form  $\Phi$  defined by

$$\Phi = \frac{1}{1!0!} (\nabla_i \phi_j) \phi^j \omega^i,$$

Since  $\ast (w_R^2 \Phi)$  is a  $(n-1, m)$ -form with compact support in  $\overline{B(2R)}$ , the Stokes' theorem gives the equality

$$\int_M d(\ast (w_R^2 \Phi)) = 0.$$

On the other hand, we have  $d(\ast (w_R^2 \Phi)) = -\ast (\delta'(w_R^2 \Phi))$ .

Since we have that

$$\begin{aligned} \langle d' w_R, \Phi \rangle &= \frac{1}{1!0!} (d' w_R)^i (\nabla_i \phi_j) \phi^j \\ &= 2 \frac{1}{2!} (d' w_R)^i \phi^j (\nabla_i \phi_j) \\ &= 2 \langle d' w_R \otimes \phi, \nabla' \phi \rangle, \end{aligned}$$

we have, by Lemma 3.2 and (4.9)

$$\delta'(w_R^2 \Phi) = -\langle w_R \nabla'^2 \phi, w_R \phi \rangle - 2 \|w_R \nabla' \phi\|^2 - 4 \langle d' w_R \otimes \phi, w_R \nabla' \phi \rangle.$$

Therefore we have the assertion.

Let  $\phi$  be a  $(1,0)$ -form on  $M$ . By the Schwarz inequality and Lemma 4.1, we have

$$\begin{aligned} (4.10) \quad 2 \| \langle d' w_R \otimes \phi, w_R \nabla' \phi \rangle \|_{B(2R)} & \\ &\leq 2 \| d' w_R \otimes \phi \|_{B(2R)} \| w_R \nabla' \phi \|_{B(2R)} \\ &\leq 2 \frac{C}{R} \| \phi \|_{B(2R)} \| w_R \nabla' \phi \|_{B(2R)} \\ &\leq \frac{C}{R} (\| \phi \|^2_{B(2R)} + \| w_R \nabla' \phi \|^2_{B(2R)}) \end{aligned}$$

and

$$(4.11) \quad \| \langle w_R \square' \phi, w_R \phi \rangle \|_{B(2R)} \leq \frac{1}{2} (\sigma \| w_R \square' \phi \|^2_{B(2R)} + \frac{1}{\sigma} \| w_R \phi \|^2_{B(2R)})$$

for every  $\sigma > 0$ .

By Lemma 3.2, we have

$$(4.12) \quad \| \langle w_R \square' \phi, w_R \phi \rangle \|_{B(2R)} \leq -\langle w_R \nabla' \phi, w_R \phi \rangle_{B(2R)} + \langle w_R \mathcal{R}' \phi, w_R \phi \rangle_{B(2R)}.$$

Thus from (4.11), (4.12), (4.13) and Lemma 4.2, we have, for every  $\sigma > 0$

$$\sigma \| w_R \square' \phi \|^2_{B(2R)} + \frac{1}{\sigma} \| w_R \phi \|^2_{B(2R)}$$

$$\begin{aligned} &\geq 2 \mid \ll w_R \square' \phi, w_R \phi \gg_{B(2R)} \mid \\ &\geq -2 \ll w_R \mathcal{R}' \phi, w_R \phi \gg_{B(2R)} + 4 \mid \mid w_R \nabla' \phi \mid \mid_{B(2R)}^2 + 8 \ll d' w_R \phi, w_R \nabla' \phi \gg_{B(2R)} \\ &\geq 2 \ll w_R \mathcal{R}' \phi, w_R \phi \gg_{B(2R)} + 4 \left(1 - \frac{C}{R}\right) \mid \mid w_R \nabla' \phi \mid \mid_{B(2R)}^2 - 4 \frac{C}{R} \mid \mid \phi \mid \mid_{B(2R)}^2. \end{aligned}$$

In particular, setting  $\square' \phi = 0$  and letting  $\sigma \rightarrow \infty$ , we have

Lemma 4.3. *For any  $\square'$ -harmonic  $(1,0)$ -form  $\phi$ ,*

$$0 \geq 2 \ll w_R \square' \phi, w_R \phi \gg_{B(2R)} + 4 \left(1 - \frac{C}{R}\right) \mid \mid w_R \nabla' \phi \mid \mid_{B(2R)}^2 - 4 \frac{C}{R} \mid \mid \phi \mid \mid_{B(2R)}^2.$$

Theorem 4.1. *Let  $M$  be an  $(n+m)$  dimensional connected and orientable manifold with a foliation of codimension  $m$ , and  $M$  has a complete Riemannian metric being bundle-like with respect to the foliation.*

- (i) *If  $\mathcal{R}'$  is positive, then there are not non-zero  $\square'$ -harmonic forms in  $L_2^{1,0}$ .*
- (ii) *If  $\mathcal{R}'$  is non-negative, then  $\square'$ -harmonic forms in  $L_2^{1,0}$  are  $\nabla'$ -parallel.*

*Proof.* Let  $\phi$  be a  $\square'$ -harmonic  $(1,0)$ -form in  $L_2^{1,0}$ .

Noticing  $\mid \mid \phi \mid \mid_{B(2R)}^2 \rightarrow \mid \mid \phi \mid \mid^2 (R \rightarrow \infty)$  and Lemma 4.3, we have

$$0 \geq \limsup_{R \rightarrow \infty} \{ 2 \ll w_R \mathcal{R}' \phi, w_R \phi \gg_{B(2R)} + 4 \left(1 - \frac{C}{R}\right) \mid \mid w_R \nabla' \phi \mid \mid_{B(2R)}^2 \}.$$

On the other hand, we have, from the positivity of  $\mathcal{R}'$

$$\ll w_R \mathcal{R}' \phi, w_R \phi \gg_{B(2R)} \geq 0$$

for  $R > 0$  and

$$\left(1 - \frac{C}{R}\right) \mid \mid w_R \nabla' \phi \mid \mid_{B(2R)}^2 \geq 0$$

for sufficiently large  $R > 0$ .

Thus the above three inequalities give

$$(*) \quad \limsup_{R \rightarrow \infty} \ll w_R \mathcal{R}' \phi, w_R \phi \gg_{B(2R)} = 0,$$

$$(**) \quad \limsup_{R \rightarrow \infty} \left(1 - \frac{C}{R}\right) \mid \mid w_R \nabla' \phi \mid \mid_{B(2R)}^2 = 0.$$

Evidently it holds that for  $0 < R_1 < R_2$

$$\ll w_{R_1} \mathcal{R}' \phi, w_{R_1} \phi \gg_{B(2R_1)} \leq \ll w_{R_2} \mathcal{R}' \phi, w_{R_2} \phi \gg_{B(2R_2)}.$$

Thus from  $(*)$ , we have for any  $R > 0$ ,

$$\ll w_R \mathcal{R}' \phi, w_R \phi \gg_{B(2R)} = 0.$$

Since  $w_R$  is positive in  $B(2R)$ , we have for any  $p \in M$ ,

$$\langle \mathcal{Q}'\phi, \phi \rangle_p = 0.$$

By the positivity of  $\mathcal{Q}'$ , we have  $\phi = 0$ .

Taking account of the non-negativity of  $\mathcal{Q}'$  and (\*\*), the case of (ii) is proved by the same way.

Example 4.1. Let  $S^2$  denote the 2-dimensional sphere of constant sectional curvature and  $f$  a positive valued function on 1-dimensional Euclidean space  $\mathbf{R}$  with the standard metric. Then the warped product  $M = \mathbf{R} \times_{\mathcal{J}} S^2$  is a connected, orientable and non-compact foliated manifold with  $\{y\} \times S^2$  as leaves. And the metric of  $M$  is complete and bundle-like. If we take  $f(y) = 2 + (-\frac{1}{2})\sin y$ ,  $\mathcal{Q}'$  is positive.

Example 4.2. Let  $T^2$  denote the 2-dimensional flat torus. We set  $f(y) = e^{-y^2}$  for any  $y \in \mathbf{R}$ . Then the warped product  $M = \mathbf{R} \times_{\mathcal{J}} T^2$  is a connected, orientable and non-compact foliated manifold with  $\{y\} \times T^2$  as leaves, whose metric is complete and bundle-like. And  $\mathcal{Q}'$  is non-positive. We define (1,0)-form  $\phi$  on  $M$  by

$$\phi = \sqrt{f(y)} \omega^1.$$

Then  $\phi$  is non-zero  $\square'$ -harmonic form in  $L_2^{1,0}$ .

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